May 16, 2015

Marlene H. Dortch  
Secretary  
Federal Communications Commission  
445 12th Street, SW  
Washington, DC 20554


Dear Ms. Dortch:

On May 13, Mimi Pickering, Director of the Community Media Initiative at Appalshop (Appalshop); Randy MacDonald, Public Safety Liaison of the Broadband Alliance of Mendocino County (Broadband Alliance); Whitney Kimball-Coe, Coordinator of the National Rural Assembly (Rural Assembly); Dee Davis, President of the Center for Rural Strategies (Rural Strategies); and Edyael Casaperalta, Internet Rights Fellow at Public Knowledge and Coordinator of the Rural Broadband Policy Group (RBPG); met with Nicholas Degani, Legal Advisor to Commissioner Ajit Pai, to discuss the technology transitions.

The traditional telephone network is a great success story in the history of communications service in the United States. Its ubiquity, affordability, reliability, and openness stimulated economic growth, helped those in need reach emergency first responders, facilitated civic engagement, and allowed millions of people to keep in touch with loved ones regardless of their physical distance. Americans have come to trust and rely on the telephone network, but the underlying technology of the network is now changing – a process we have come to reference as the “Technology Transitions.”

Telephone providers have used Time-Division Multiplexing (TDM) technology over copper wires to bring telephone service to homes and businesses. Today, with the advent of new technologies, providers have begun to switch to Internet Protocol (IP) technology over fiber and wireless networks. Changing the underlying technology of the telephone network has important implications for Americans living in rural communities. As technologies evolve, we are faced with the responsibility to ensure any transition in technology is a step forward for all Americans.

The Rural Broadband Policy Group and Public Knowledge support the technology transitions and encourage all stakeholders, including the Commission, to reaffirm the fundamental values of our communications network – universal service, reliability, consumer protection, public safety, and competition. The rural delegates present at the meeting with Mr.
Degani shared their perspective about how various policy issues implicated in the technology transitions impact rural communities. The delegates explained their concerns with the following policy issues and offered recommendations that we encourage the Commission to adopt regarding: 1) service availability, 2) network reliability and public safety, 3) rural call completion, 4) consumer education and outreach, and 5) the Lifeline program. The documents shared with Mr. Degani addressing each of these issues are also submitted in this ex parte.

The Commission’s § 214(a) Process Is Crucial to Ensure Americans Continue to Access Basic Telephone Service, Especially In States that No Longer Apply Carrier of Last Resort Obligations.

A change in technologies could change the services available to consumers. A provider might want to stop using its copper lines and offer wireless or IP-based voice services. But, many rural consumers live in areas with spotty cell phone reception or where Internet service is simply not available and have long relied on Carrier of Last Resort (COLR) providers to obtain telephone service. Retiring copper lines has been a central focus of both state legislation and the Federal Communications Commission’s tech transitions efforts. Ensuring that consumers can still access reliable, affordable, basic telephone service even when a carrier decides to retire its copper lines has been a critical concern for rural advocates.

Mimi Pickering spoke about the experience of advocates in Kentucky to stop legislation that would deregulate telephone service and fail to assure continued access to reliable basic phone service for Kentuckians. According to Mimi and testimony from the Kentucky Resources Council, Senate Bill 3 and House Bill 152, which became known as “the AT&T Bills” by telecommunications access advocates, allowed “AT&T, Windstream (where it is the ILEC), and Cincinnati Bell to immediately stop offering stand-alone basic telephone service to new and existing customers in any phone exchange with over 15,000 housing units” and withdrew the jurisdiction of the Public Service Commission to investigate and resolve consumer complaints or require compliance regarding the availability, reliability, and quality of telephone service. The AT&T Bill effectively abandoned COLR obligations and left rural and low-income Kentuckians without the right to affordable, reliable, stand-alone telephone service. The bill goes into effect July 1st of this year.

Ms. Pickering stated that in this new deregulated state, carriers will not be required to honor new requests for basic local service through a landline unless the carrier had previously installed landline facilities in that unit, and the carrier could offer less reliable and functional wireless voice service where there is no existing landline. Additionally, the carrier can choose to offer a wireless or IP-based service to a customer even where a landline exists, and customers who had landline service but chose to try wireless or IP voice service only have 60 days to request to be switched back, which is not sufficient time for a consumer to grasp the effect of a change in technology and take action to revert to landline service. Ms. Pickering stated that

abandoning COLR obligations was premature and dangerous for rural Kentuckians because the state ranks 46th in broadband availability and has very low access in rural areas.

Ms. Pickering explained that Kentuckians want to move to new technologies, but the persistent digital divide will leave rural and poor communities behind. And, where new services are available, people want to have the same protections and quality that landlines traditionally afforded the American public. Ms. Pickering urged Commissioner Pai to uphold the fundamental values of the communications network in the transition to new technologies – universal service, reliability, consumer protection, public safety, and competition. She discussed the deregulated landscape in Kentucky to highlight the critical role the Commission plays in protecting rural consumers during and after the transition. Today, as states adopt deregulation plans that leave their residents without communications certainty, the federal §214(a) process is crucial to ensure carriers do not retire reliable, affordable, stand-alone telephone service without the guarantee of a service that provides rural consumers with the communications certainty they have come to rely on. RBPG supports PK’s assertions that the Commission has and should use its broad authority to implement strong enforcement mechanisms for the technology transitions, should establish such enforcement mechanisms to effectuate technology transitions rules, and should proceed with § 214(a) guidance.2

The Commission Must Ensure Rural Americans are not Left Without Reliable Access to 911

Randy MacDonald, 2nd Assistant Fire Chief for the Comptche Volunteer Fire Department in Comptche, California and Public Safety Liaison for the Broadband Alliance of Mendocino County, spoke about the importance of maintaining a reliable communications network that prioritizes public safety and guarantees 911 connectivity. Mr. MacDonald warned that new technologies are introducing vulnerabilities to the 911 system in rural areas. For example, 911 location data lists shrink daily because new technologies do not provide the exact location of a caller and emergency responders are not equipped with the necessary information to quickly respond to the emergency.

Mr. MacDonald also said that rural areas are losing essential network redundancy, with potentially disastrous results. As an example, Mr. MacDonald related a fiber outage incident near his hometown in rural northern California. On August 3, 2014, a truck hit an aboveground fiber optic cable, breaking it and shutting down all telecommunications services across a 40-mile section of Mendocino County. The outage left 17,000 residents without services, including 911, for 45 hours. At the same time, a wild fire broke out near the area and the Fire Chief could not use Reverse 911 to reach residents about safety measures. Had the Fire Chief needed to relay evacuation orders, Reverse 911 would not have been available for him to warn residents. Mr. MacDonald stated that this break caused a total loss of communications services because AT&T did not have a backup network (copper or otherwise) that would work when the fiber cable went out of commission. Even though there is a major separate under sea cable nearby, there is no line

2 See Public Knowledge’s Notice of Ex Parte filed on May 6, 2015 at http://apps.fcc.gov/ecfs/comment/view?id=60001031002
connecting that cable to the small communities in the area. This lack of redundancy left a fiber network that was neither resilient nor reliable. A detailed report of this incident authored by the Broadband Alliance of Mendocino County can be found in the tech transitions docket.3

Mr. MacDonald also discussed the problems his emergency response team has faced with AT&T in getting information that could help improve public safety. He said that AT&T refused to share information about network redundancy with county officials who wanted to identify the vulnerable places of the network after the August fiber outage. He stated that not collaborating with public safety officials jeopardizes public safety.

Mr. MacDonald urged Commissioner Pai to put public safety first in the technology transitions, to address the need for network resiliency and redundancy especially in rural areas, and to inform citizens of the changes that are afoot so they can be prepared. Mr. MacDonald added that public safety agencies can be very helpful and effective in informing citizens of how technology changes impact their safety, but that the agencies need to be able to access information about outages and areas where there is no network redundancy in order to help. Mr. MacDonald reminded Commissioner Pai that the questions before the Commission are matter of life or death for all Americans.

**Telephone Carriers Are Better Equipped to Provide Backup Power to the Premises, and Should Provide A Minimum of Seven Days.**

Edyael Casaperalta, representing the Rural Broadband Policy Group, discussed briefly the importance of ensuring consumers are well prepared during commercial power outages. At this stage in the technology transitions, carriers are the best-positioned stakeholders to take on the responsibility of guaranteeing backup power. Currently, consumers are largely uninformed of the need for backup power with new technologies, and batteries are not available in the market at competitive prices that would allow all consumers to afford safety, especially poor consumers. Shifting responsibility for backup power to consumers at this stage is not advisable, and could even be hazardous, because an educational campaign that imbeds this responsibility into emergency preparedness culture has not taken place. Backup power is too urgent a matter to have telephone carriers test it out on the field or suddenly place the responsibility on consumers.

Americans have come to trust and expect basic telephone service to work indefinitely, particularly during power outages caused by natural disasters and public safety emergencies. While natural disasters can last only a few hours, they can devastate the infrastructure of a community for weeks and even months. In times of extreme danger, Americans should not be additionally burdened by a communications network that fails to connect them to their family, 911, and medical emergency resources. Furthermore, as residents take on the task of rebuilding their communities after a natural disaster, their efforts should not be obstructed by a broken communications network that keeps their businesses and economy from getting back on their

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3 See Broadband Alliance of Mendocino County’s Notice of Ex Parte and “Incident Report on Internet and Phone Outages in Mendocino County” filed on October 20, 2014 at http://apps.fcc.gov/ecfs/comment/view?id=60000973425
feet. For these reasons, the Commission’s proposal to require service providers to ensure eight to twenty four hours of backup power is not sufficient. RBPG believes that a telephone carrier that chooses to use a technology that does not carry its own electricity must make necessary arrangements to ensure a minimum of seven days or an ideal of two weeks worth of backup power during commercial outages. The RBPG asks Commissioner Pai to thoroughly consider the long-term backup solution rural and low-income Americans need to stay safe during critical times.

**The Commission Must Ensure Consumers are Informed About the Technology Transitions.**

Whitney Kimball-Coe, Coordinator for the National Rural Assembly (Rural Assembly), encouraged the Commission to work with all stakeholders to find out what information rural residents need about the technology transitions, and to create and distribute information that educates consumers. We are in the midst of this transition, and carriers are becoming more aggressive in seeking to transition their networks. Now is when rural residents most need the Commission to reach out to help them understand how the technology transitions will affect the communications services available to them. The Rural Assembly, a gathering of diverse stakeholders coming together to engage in policy solutions, conducted a survey with its members about the technology transitions, and found out most did not know this was happening.

Ms. Kimball-Coe urged Commissioner Pai to identify and assess the information currently available to consumers regarding the technology transitions. She stressed the importance of finding out what carriers, of all sizes, are truly doing to fully inform their customers about technology changes and how these changes impact the services they use. This concern rises after reports that carriers are not informing consumers of their option to maintain basic stand-alone telephone service and instead try to push bundled or new services on the consumer without explaining that some of the features of the traditional technology are not available with the new technology.\(^4\) The Commission must require all carriers interested in making a technology change to inform their customers. The proposal that rural LECs should be exempt from reporting technology changes to their customers is unacceptable. All consumers, particularly rural consumers who typically have less access to information, have to be informed of the technology transitions and how a change in transitions will impact the services they rely on. Ms. Kimball-Coe urged Commissioner Pai to hold companies accountable to full transparency with their customers about any technology changes during and after the transitions.

Ms. Kimball-Coe also encouraged Commissioner Pai to attend the National Rural Assembly gathering in September to reach out to more rural stakeholders about the technology transitions. She stated that the Rural Assembly would be interested in exploring ideas to collaborate with the Commission on efforts to inform rural consumers about these changes.

The Continued Digital Divide Exacerbates the Need for a Responsible Transition that Does Not Leave Rural America Behind.

Dee Davis, President of the Center for Rural Strategies (Rural Strategies), reminded the Commission of the persistent digital divide that disproportionally affects rural America. Mr. Davis cited a study his organization commissioned about what happens to rural communities without broadband access. The study found that while broadband access does not guarantee prosperity, not having it guarantees a community will not prosper. Mr. Davis stated that broadband access is an existential question for rural America because it impacts every aspect of the economy and society. Access to broadband has become a critical issue about planning for the next generation that will live in rural communities.

Mr. Davis commended the Commission’s decision to adopt strong network neutrality rules and urged the Commission to move forward with closing the digital divide. Currently, he stated, rural Americans have lousy broadband service (if any), high prices, and slow speeds. People are trying to find a solution that works, and the market has not helped find that solution. Mr. Davis said that the Bush administration gave the telecom companies rate relief and allowed rapid depreciation of copper as a solution, but that did not work. He said that our country needs a solution that lets rural communities get in the game. In rural America people are poor and far apart, and if a market player is given the choice, they don’t go there. According to Mr. Davis, the market is not as interested in rural communities. That is why the Commission’s decision to support municipal broadband efforts at the state level is a great step to close the digital divide.

The Commission Should Include Broadband Service in the Lifeline Program.

Edyael Casaperalta briefly shared the story of Sharell Harmon, a young rural advocate from Elkins, West Virginia. Ms. Harmon is a recipient of the Lifeline phone program a student at YouthBuild USA – a national education and job-training model where low-income young people work toward their General Education Development Certificate (“GED”) or high school diplomas while learning job skills by building affordable housing in their communities. At the program’s completion, participants are placed in college, jobs, or both. YouthBuild’s Rural & Tribal Development Initiative convened a Rural Caucus of young leaders earlier this year where Ms. Harmon and her peers drafted recommendations to modernize the Lifeline program.

Ms. Harmon uses her Lifeline wireless phone to communicate with her children’s daycare, social workers, and employers. She is thankful to the FCC for creating a program that helps in her daily life and encouraged the Commission to “clone” the program for broadband service. Currently, Ms. Harmon cannot afford internet access at home because her financial situation requires her to prioritize rent, utilities, and groceries. Where broadband service is available to rural residents, low-income youth like Ms. Harmon are missing out on opportunities because they cannot afford access to the internet. Cost is the number one barrier keeping rural

America from benefiting from the digital age. RBPG believes a Lifeline Internet program would allow rural youth to take classes online and pursue their goals.

Ms. Casaperalta presented a document outlining the YouthBuild Rural Caucus’ recommendations for a Lifeline Internet program that supports access to affordable internet in rural communities. The recommendations include: recipient choice in wireless and wired services, preventing data caps, funding digital literacy, no restrictions on websites, protecting recipient’s privacy, funding hardware, collaborating with state and federal agencies, and maintaining the eligibility requirements of the telephone program. Ms. Casaperalta requested Commissioner Pai to consider the Rural Caucus’ recommendations and urged the Commission to include broadband service in the Lifeline program. Please see the YouthBuild Rural Caucus Lifeline Recommendations document attached.

The rural delegates present at the meeting were in D.C. to participate on a briefing for Congress staff about various policy issues implicated in the technology transitions. They are grateful for the opportunity to meet with Mr. Degani to talk about the issues that matter to them and their rural communities as our telephone network transitions.

Respectfully submitted,

/ls/ Edyael Casaperalta
Coordinator
RURAL BROADBAND POLICY GROUP